

FILE COPY

Rec'd

3/CFR

Q
8/6/02**COPY OF PAPERS
ORIGINALLY FILED**

Please initial and sign (+) inside this box → +

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/993,467

Filing Date 11/19/2001

First Named Inventor Richard P. Welle

Group Art Unit 3641

Examiner Name Unassigned

Total Number of Pages in This Submission 4

Attorney Docket Number 7413-1006

ENCLOSURES (check all that apply)

Fee Transmittal Form
 Fee Attached
 Amendment / Reply
 After Final
 Affidavits/declaration(s)
 Extension of Time Request
 Express Abandonment Request
 Information Disclosure Statement
 Certified Copy of Priority Document(s)
 Response to Missing Parts/ Incomplete Application
 Response to Missing Parts under 37 CFR 1.52 or 1.53

Assignment Papers (for an Application)
 Drawing(s)
 Licensing-related Papers
 Petition
 Petition to Convert to a Provisional Application
 Power of Attorney, Revocation
 Change of Correspondence Address
 Terminal Disclaimer
 Request for Refund
 CD, Number of CD(s) _____

After Allowance Communication to Group
 Appeal Communication to Board of Appeals and Interferences
 Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
 Proprietary Information
 Status Letter
 Other Enclosure(s) (please identify below):
 Copy of Filing Receipt; Return Postcard

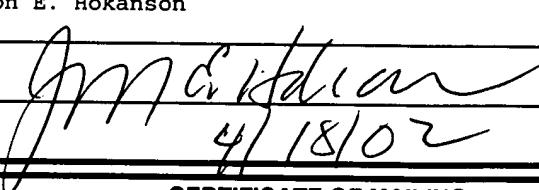
Remarks

RECEIVED

AUG 06 2002

GROUP 3600**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name Jon E. Hokanson

Signature 

Date 4/18/02

CERTIFICATE OF MAILINGI hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 4/23/02

Typed or printed name Cheryl M. Watson

Signature 

Date 4/23/02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



**COPY OF PAPERS
ORIGINALLY FILED**

**PATENT
7413-1006**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: Richard P. Welle

Serial No.: 09/993,467

Filing Date: 11/19/2001

For: FRAGMENTED TAGGANT CODING
SYSTEM AND METHOD WITH
APPLICATION TO AMMUNITION
TAGGING

Office of Initial Patent Examination's
Customer Service Center

Commissioner of Patents
Washington, D.C. 20231

RECEIVED
AUG 06 2002
GROUP 3600

CORRECTION TO FILING RECEIPT

Dear Sir:

Please correct the official Filing Receipt (a copy of which is submitted herewith) in regard to the following:

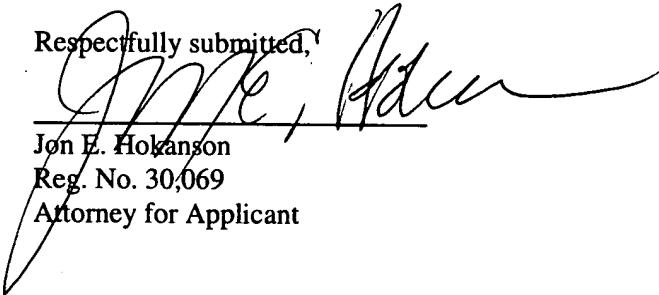
Please add the following information to Domestic Priority data as claimed by application section:

PATENT
7413-1006

THIS APPLICATION IS A CON OF PCT/US00/13937, 05/19/2000,
WHICH CLAIMS BENEFIT OF 60/135,866, 05/29/1999.

Dated: April 23, 2002

Respectfully submitted,



Jon E. Hokanson
Reg. No. 30,069
Attorney for Applicant

SMALL LARKIN, LLP
10940 Wilshire Boulevard, 18th Floor
Los Angeles, California 90024
Tel(310) 209-4499
Fax(310) 209-4450



UNITED STATES PATENT AND TRADEMARK OFFICE

O 11 - JCP
APR 30 2002
RECEIVED
U.S. PATENT & TRADEMARK OFFICE

COPY OF PAPERS
ORIGINALLY FILED

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20591-0000
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLA
09/993,467	11/19/2001	3641	1738	7413-1006	1	60	27

Jon E. Hokanson
Small Larkin, LLP
18th Floor
10940 Wilshire Boulevard
Los Angeles, CA 90024

CONFIRMATION NO. 8:
FILING RECEIPT

OC000000007327279

Date Mailed: 01/17/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Richard P. Welle, Huntington Beach, CA;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 01/17/2002

Projected Publication Date: 05/22/2003

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Fragmented taggant coding system and method with application to ammunition tagging

Preliminary Class

102

RECEIVED

AUG 06 2002

C
4/9

GROUP 3600